

THE NAVAJO NATION

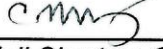
JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



February 8, 2022

TRANSMITTAL

TO : Jason John, Director
Navajo Department of Water Resources (DWR) / DNR

FROM : 
Cordell Shortey, Contracting Officer
Contracts and Grants Section / OMB

SUBJECT : NTUA - Cistern Systems; Defunded CARES Act

I. Information on Contract (per Original Award):

| Navajo Nation Fiscal Recovery Funds (NN FRF) - NTUA | | U.S. Treasury American Recovery Plan Act (ARPA) | 21.019 |
|---|-----------------|---|---------------------------|
| Title of Contract | | Funding Agency | CFDA No. - Federal |
| CJY-41-21 | \$ 3,701,879.00 | 2022 | 03/11/2021 to 12/31/2026 |
| Grant No. | Amount | Fiscal Year | Term - Begin and End Date |

II. Data Entered in FMIS Regarding:

☒ New Contract or Grant Company No. 8059 Business Unit (K#) K211533
☐ Contract Mod No. _____
☐ Amt of Award _____ to _____
AMOUNT FROM TO
☐ Budget Period - Extend End Date: From _____ To _____
☐ Other, specify: _____

Authorizing Document - Attached:

☐ Contract / Agreement - Date executed _____
☐ NNC / Committee Resolution - No. & Date _____
☒ Other, specify: NN Council Resolution CJY-41-21; Letter by President Nez to NTUA of 9/28/21.

III. Comments by CGS:

Budget received on January 26, 2022 is authorized for implementation pursuant to FY 2022 NN BIM Appendix L Section II.B and approved by Mr. Tom Platero. Delay in Business Unit set up is due to letter of allocation to NTUA regarding NTUA defunded CARES ACT not being provided until February 4, 2022.

Attachment
Copy: Contract files
Contract Accounting / OOC / DPM
Tom Platero, Interim E.D. - NN FRF Office

Revised April 2018



Office of Management and Budget ♦ Post Office Box 646 ♦ Window Rock, AZ 86515
(928) 871-6470 Telephone ♦ (928) 871-6567 Facsimile

K211533



FY 2022

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 1 of 4
BUDGET FORM 1

| PART I. Business Unit No.: <u>NEW</u> Program Title: <u>ARPA - 5.15 Drinking Water - NTUA Defunded CARES</u> Division/Branch: <u>Division of Natural Resources</u> | | | |
|--|----------------------------------|--|-------------------------|
| Prepared By: <u>Jason John</u> Phone No.: <u>928 729 4003</u> Email Address: <u>jasonjohn@navajo-nsn.gov</u> | | | |
| PART II. FUNDING SOURCE(S) | Fiscal Year /Term | Amount | % of Total |
| CJY-41-21 ARPA NNFRF | 10/1/22 - 9/30/26 | 3,701,879.00 | 100% |
| PART III. BUDGET SUMMARY | | | |
| Fund Type Code | (A) NNC Approved Original Budget | (B) Proposed Budget | (C) Difference or Total |
| 2001 Personnel Expenses | | | |
| 3000 Travel Expenses | | | |
| 3500 Meeting Expenses | | | |
| 4000 Supplies | | | |
| 5000 Lease and Rental | | | |
| 5500 Communications and Utilities | | | |
| 6000 Repairs and Maintenance | | | |
| 6500 Contractual Services | | | |
| 7000 Special Transactions | | | |
| 8000 Public Assistance | 6 | 0 | 3,701,879 |
| 9000 Capital Outlay | | | |
| 9500 Matching Funds | | | |
| 9500 Indirect Cost | | | |
| TOTAL | | \$0.00 | 3,701,879.00 |
| PART IV. POSITIONS AND VEHICLES | | | |
| | | (D) | (E) |
| Total # of Positions Budgeted: | | | 0 |
| Total # of Vehicles Budgeted: | | | 0 |
| TOTAL: \$3,701,879.00 100% | | | |
| PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE. | | | |
| SUBMITTED BY: <u>Jason John, Director, DWR</u> | | APPROVED BY: <u>Dr. Rudolph Shebala, Director, DNR</u> | |
| Program Manager's Printed Name | | Division Director / Branch Chief's Printed Name | |
| <u>[Signature]</u> 1-26-2022 | | <u>[Signature]</u> 1-26-2022 | |
| Program Manager's Signature and Date | | Division Director / Branch Chief's Signature and Date | |

✓-f 2/1/22

FY 2022

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 4
BUDGET FORM 2

PART I. PROGRAM INFORMATION:Business Unit No.: NEWProgram Name/Title: ARPA - 5.15 Drinking Water - NTUA Defunded CARES**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:**

Pursuant to RDCO-79-16: A) The Department of Water Resources shall provide stewardship to manage, provide comprehensive water planning, compile information on existing surface and groundwater resources, coordination of the development and utilization of the Navajo Nation's waters to manage existing uses and to plan for future adequate water resources for domestic, industrial, agricultural, recreation, wildlife, aquatic life and other beneficial uses for maximum beneficial use to enhance the natural and human environment and exercise the sovereignty of the Navajo Nation over its waters; etc.

PART III. PROGRAM PERFORMANCE CRITERIA:

| FY 2023 | | FY 2024 | | FY 2025 | | FY 2026 | |
|---------|--------|---------|--------|---------|--------|---------|--------|
| Goal | Actual | Goal | Actual | Goal | Actual | Goal | Actual |

1. Goal Statement:

To upgrade and or install 78 Cisterns System Projects throughout the Navajo Nation.

Program Performance Measure/Objective:

To upgrade and or install 78 Cisterns System Projects throughout the Navajo Nation.

| | | | | | | | |
|----|--|----|--|----|--|----|--|
| 18 | | 20 | | 20 | | 20 | |
|----|--|----|--|----|--|----|--|

2. Goal Statement:**Program Performance Measure/Objective:**

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3. Goal Statement:**Program Performance Measure/Objective:**

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4. Goal Statement:**Program Performance Measure/Objective:**

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5. Goal Statement:**Program Performance Measure/Objective:**

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PART IV. I THEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Jason John, Director, DWR

Program Manager's Printed Name

Program Manager's Signature and Date

Dr. Rudolph Shebala, Director, DNR

Division Director/Branch Chief's Printed Name

Division Director/Branch Chief's Signature and Date

J. M. 2/1/22

FY 2022

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 3 of 4
BUDGET FORM 4

| | | | |
|---|---|--|---|
| PART I. PROGRAM INFORMATION: | | | |
| Program Name/Title: <u>ARPA - 5.15 Drinking Water - NTUA Defunded CARES</u> | | Business Unit No.: <u>NEW</u> | |
| PART II. DETAILED BUDGET: | | | |
| (A) | (B) | (C) | (D) |
| Object Code (LOD 6) | Object Code Description and Justification (LOD 7) | Total by DETAILED Object Code (LOD 6) | Total by MAJOR Object Code (LOD 4) |
| | 8000 Assistance | | 3,701,879 |
| 8780 | <p>Drinking Water: 5.15 Other Water Infrastructure: This project consists of installing cistern systems to outlying homes. It is important to note that although NTUA has been asked to separate wastewater from clean water, NTUA will be installing a septic system to homes where it installs a cistern system. The reason is that clean water from the cistern needs to have a system to exit as wastewater. Installation of cistern systems and a septic system will directly address one of the major sanitation deficiencies that is connected to the high outbreak of COVID-19 on the Navajo Nation.</p> <p>Entities</p> <p>8785 Entity Grants NTUA Sub-recipients</p> <p>2 Cistern System Projects for Homes in Indian Wells \$ 95,078.34</p> <p>2 Cistern System Projects for Homes in White Cone \$ 95,078.34</p> <p>1 Cistern System Projects for Homes in Chilchinbeto \$ 47,539.17</p> <p>3 Cistern System Projects for Homes in Kayenta \$ 142,617.51</p> <p>5 Cistern System Projects for Homes in Chichiltah \$ 237,695.85</p> <p>3 Cistern System Projects for Homes in Shonto \$ 142,617.51</p> <p>3 Cistern System Projects for Homes in Naschitti \$ 142,617.51</p> <p>2 Cistern System Projects for Homes in Kinlichee \$ 95,078.34</p> <p>3 Cistern System Projects for Homes in Round Rock \$ 142,617.51</p> <p>1 Cistern System Projects for Homes in Rock Point \$ 47,539.17</p> <p>1 Cistern System Projects for Homes in Tsaille \$ 47,539.17</p> <p>4 Cistern System Projects for Homes in Wide Ruins \$ 190,156.68</p> <p>1 Cistern System Projects for Homes in Houck \$ 47,539.17</p> <p>3 Cistern System Projects for Homes in Bird Springs \$ 142,617.51</p> <p>3 Cistern System Projects for Homes in Leupp \$ 142,617.51</p> <p>1 Cistern System Projects for Homes in Iyanbito \$ 47,539.17</p> <p>3 Cistern System Projects for Homes in Forest Lake \$ 142,617.51</p> <p>1 Cistern System Projects for Homes in Black Mesa \$ 47,539.17</p> <p>(Continues onto next page)</p> | 3,701,879 | |
| TOTAL | | 3,701,879 | 3,701,879 |

1-17-21/22

FY 2022

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

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BUDGET FORM 4

| | | | |
|---|---|--|---|
| PART I. PROGRAM INFORMATION: | | | |
| Program Name/Title: <u>ARPA - 5.15 Drinking Water - NTUA Defunded CARES</u> | | Business Unit No.: <u>NEW</u> | |
| PART II. DETAILED BUDGET: | | | |
| (A) | (B) | (C) | (D) |
| Object Code (LOD 6) | Object Code Description and Justification (LOD 7) | Total by DETAILED Object Code (LOD 6) | Total by MAJOR Object Code (LOD 4) |
| | 8000 Assistance (continued) | | 3,701,879 |
| | 3 Cistern System Projects for Homes in Greasewood Springs \$ 142,056.00 | | |
| | 3 Cistern System Projects for Homes in Indian Wells \$ 142,056.00 | | |
| | 3 Cistern System Projects for Homes in Dilkon \$ 142,056.00 | | |
| | 4 Cistern System Projects for Homes in Chilchinbeto \$ 189,408.00 | | |
| | 2 Cistern System Projects for Homes in Kayenta \$ 94,704.00 | | |
| | 3 Cistern System Projects for Homes in Chichiltah \$ 142,056.00 | | |
| | 1 Cistern System Projects for Homes in St. Michaels \$ 47,352.00 | | |
| | 1 Cistern System Projects for Homes in Tuba City \$ 47,352.00 | | |
| | 3 Cistern System Projects for Homes in Oljato \$ 142,056.00 | | |
| | 2 Cistern System Projects for Homes in Ganado \$ 94,704.00 | | |
| | 2 Cistern System Projects for Homes in Klagnetoh \$ 94,704.00 | | |
| | 3 Cistern System Projects for Homes in Bird Springs \$ 142,056.00 | | |
| | 3 Cistern System Projects for Homes in Leupp \$ 142,056.00 | | |
| | 3 Cistern System Projects for Homes in Dilkon \$ 142,617.86 | | |
| | Total \$ 3,701,879.00 | | |
| TOTAL | | - | 3,701,879 |

✓-by 2/7/22

RECEIVED
1.35 pm, Jan 26 2022
The Navajo Nation
Office of Management and Budget
Window Rock, Arizona

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

✓. 15/2/12